

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : B05D 5/06, B32B 9/04, 17/06, C08F 283/00		A1	(11) International Publication Number: WO 96/29154 (43) International Publication Date: 26 September 1996 (26.09.96)
(21) International Application Number: PCT/US96/03605 (22) International Filing Date: 14 March 1996 (14.03.96)		(81) Designated States: AU, BR, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>	
(30) Priority Data: 08/408,438 22 March 1995 (22.03.95) US			
(71) Applicant: PPG INDUSTRIES, INC. [US/US]; One PPG Place, Pittsburgh, PA 15272 (US).			
(72) Inventors: LI, Huawen; 213 Rose Court North, Delmont, PA 15626 (US). WANG, Alan, E.; 3870 Anjou Lane, Hoffman Estates, IL 60195 (US). DAS, Suryya, K.; 100 Chapel Knoll Drive, Pittsburgh, PA 15238 (US).			
(74) Agents: STEIN, Irwin, M.; PPG Industries, Inc., One PPG Place, Pittsburgh, PA 15272 (US) et al.			
(54) Title: COATING COMPOSITION, PROCESS FOR PRODUCING ANTIREFLECTIVE COATINGS, AND COATED ARTICLES			
(57) Abstract			
<p>The invention describes a composition for producing durable coatings and a process for preparing a single-layer broad band antireflective coating on solid substrates, such as glass, ceramics, metals, and organic polymeric materials. The coating composition comprises, in combination, acid catalyzed hydrolysis and condensation products of water-silane monomer mixture and a film forming amount of a polymer having functional groups selected from amino, hydroxy and carboxy, hydroxy and amino, amino and carboxy, and amino, hydroxy and carboxy. The described process comprises applying the aforesaid coating composition (or an acid catalyzed sol-gel coating composition) substantially free of preformed oxide sol and water soluble metal salt to the surface of a solid substrate, curing the applied coating, and treating the cured coating with an aqueous electrolyte solution for a time sufficient to produce a coating having graded porosity which is antireflective over a broad band of the visible spectrum.</p>			

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MU	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

-1-

COATING COMPOSITION, PROCESS FOR PRODUCING ANTIREFLECTIVE
COATINGS, AND COATED ARTICLES

Description of the Invention

5 The present invention relates to compositions for producing durable coatings. More particularly, the present invention relates to a novel process for preparing a single-layer, broad band antireflective coating on solid substrates such as glass, ceramics, metals, and organic polymeric
10 materials. Still more particularly, this invention relates to solid articles having on at least one surface thereof a durable coating of the present invention, or an antireflective coating formed by the process of the present invention.

15 It is known that the surfaces of solid substrates that have been subjected to treatments or machining to provide a polish, gloss, or another surface aspect, as required by technical or decorative requirements, must be protected by suitable coatings to prevent any alteration of the surface condition. Such protection is more necessary when the surface
20 is more fragile or subject to the risks of alteration or degradation as in the case of, for example, glass, ceramics, and organic polymeric materials which may be scratched or opacified from the effects of friction, abrasion, or even shock. The use of protective coatings based on
25 organoalkoxysilanes, organoalkoxysilane-metal oxide sol-gel compositions, and combinations of acid hydrolyzed silicate, acid hydrolyzed epoxy/silane coupling agent, difunctional monomer, and photoinitiator are disclosed respectively in U.S. Patents 4,485,130; 4,753,827; and 5,120,811.

30 It is also known that it is desirable to reduce reflection on reflective surfaces such as optical articles or elements, e.g., windows, ophthalmic lenses, transparent

-2-

sheets, films, display surfaces, and the like. The amount of reflected light from such surfaces can be reduced by means of an antireflective coating. The advantages of such coatings, as reported by K.H. Guenther in *Thin Film Coating Technology* 5 for *Ophthalmic Lenses*, SPIE, 601, Ophthalmic Optics, 1985, pages 76-87, are the elimination of ghost images due to multiple internal reflections, and of disturbing reflection to the wearer and the external viewer. As a consequence, the wearer not only sees better, but also looks better.

10 The use of a single layer to form antireflective coatings to reduce reflection losses and increase the light transmission of optical glass and optical plastic articles is described in U.S. Patents 4,929,278 and 5,116,644.

U.S. 4,929,278 describes a sol-gel antireflective surface 15 coating solution and a method for its preparation. The antireflective coating solution is prepared by subjecting a solution of silicon alkoxide and/or metal alkoxide to hydrolysis and condensation in a reaction system containing water and catalyst in an alcohol solution, aging the reaction 20 system to form a sol-gel hydrolyzation-condensation polymeric reaction product, reliquifying the resulting gel and diluting the reliquified sol-gel reaction product to produce the surface coating solution. U.S. Patent 5,116,664 describes an 25 antireflective layer formed by coating a lens with a siloxane-containing hard coat solution having at least one oxide sol, hardening the coated solution to give a hard coat layer, and immersing the lens into an acidic or alkaline solution to dissolve the oxide particles contained in the hard coat layer so as to make the layer non-uniform.

30 Antireflective coatings formed by the dissolution of coating components using various acids and bases may undergo further dissolution during use of the coated article if it is

-3-

exposed to acid rain or alkaline cleaning agents. Formation of antireflective coatings using vacuum deposition techniques requires costly equipment and precise control to meet exacting specifications. Consequently, there is a need for a cost effective process to produce durable, antireflective coatings.

It has now been discovered that incorporating a polymer having certain functional groups in a sol-gel type coating composition, i.e., a coating composition comprising the hydrolysis and condensation reaction products of silane monomer(s), acid catalyst and water, results in a durable, i.e., resistant to abrasion, coating. Treatment of such coating (or an acid catalyzed sol-gel coating alone) substantially free of preformed oxide sol, e.g., colloidal silica, colloidal antimony oxide and the like, and preformed water soluble metal salt with the novel process of the present invention produces a single-layer, durable, transparent and adherent antireflective coating.

In accordance with the present invention, a film forming amount of a polymer having amino, hydroxy and carboxy, hydroxy and amino, amino and carboxy, or amino, hydroxy and carboxy functionality is included in the coating composition. Such polymer may be prepared from monomer(s) having at least one functional group selected from hydroxy, amino and/or carboxy groups. The polymer is compatible with the silane monomer, the hydrolysis and condensation reaction products of the silane monomer including by-product alcohol of the coating composition. This coating composition may be used to produce a single-layer, sol-gel, i.e., solution-gelation, durable, i.e., resistant to abrasion, adherent, cured coating on surfaces of solid substrates using conventional coating technology. Moreover, the resultant coating may be treated in

-4-

accordance with the novel process of the present invention to form an antireflective coating.

The novel process of the present invention comprises applying the aforesaid novel coating composition, or an acid catalyzed sol-gel coating composition, that is substantially free of preformed oxide sol and water-soluble metal salt to the surface of a solid substrate, curing the applied coating composition, and treating the cured coating with an aqueous electrolyte solution for a time sufficient to produce a 10 coating having a graded porosity that is antireflective over a broad range of the visible spectrum, i.e., 400 nanometers to 700 nanometers.

Detailed Description Of The Invention

15 The coating composition of the present invention is of the type comprising, in combination, the acid catalyzed hydrolysis and condensation products of a water-silane monomer(s) mixture and a film forming amount of a polymer, i.e., homopolymer, copolymer, terpolymer, etc., having amino, 20 hydroxy and carboxy, hydroxy and amino, amino and carboxy, or amino, hydroxy and carboxy functional group(s). Such polymer may be prepared from monomer(s) having at least one functional group selected from hydroxy, amino and/or carboxy groups.

Monomers that may be used to prepare the 25 aforescribed polymer include: hydroxy(C₂-C₄)alkyl acrylates, hydroxy(C₂-C₄)alkyl methacrylates, acrylic acid, methacrylic acid, carboxy(C₁-C₄)alkyl acrylates, carboxy (C₁-C₄)alkyl methacrylates, amino substituted phenyl acrylates, amino substituted phenyl methacrylates, amino substituted phenyl(C₁-30 C₉)alkyl acrylates, amino substituted phenyl(C₁-C₉)alkyl methacrylates, amino substituted phenoxy acrylates, amino substituted phenoxy methacrylates, amino substituted

-5-

phenoxy(C₁-C₉)alkyl acrylates, amino substituted phenoxy(C₁-C₉)alkyl methacrylates, amino(C₁-C₉)alkyl acrylates, amino(C₁-C₉)alkyl methacrylates, and vinylamines. The amino substituted phenyl and phenoxy groups may have in addition to the amino substituent(s), further substituents selected from the group consisting of C₁-C₉ alkyl, cyano, chloro, bromo, methoxy, nitro and methylthio. The total number of substituents on either the phenyl or phenoxy group may range from 1 to 5. Preferably, the monomers used include hydroxy(C₂-C₄)alkyl methacrylates, acrylic acid, methacrylic acid, carboxy(C₁-C₄)alkyl acrylates, amino(C₁-C₉)alkyl acrylates, and amino(C₁-C₉)alkyl methacrylates.

Examples of hydroxyalkyl acrylates and carboxyalkyl acrylates include 2-hydroxyethyl acrylate, 2-hydroxypropyl acrylate, 3-hydroxypropyl acrylate, 4-hydroxybutyl acrylate, 15 3,4-dihydroxybutyl acrylate, 2-hydroxyethyl methacrylate, 2-hydroxypropyl methacrylate, 3-hydroxypropyl methacrylate, 4-hydroxybutyl methacrylate, 3,4-dihydroxybutyl methacrylate, carboxymethyl acrylate, 2-carboxyethyl acrylate, 20 carboxypropyl acrylate, 3-carboxypropyl acrylate, 4-carboxybutyl acrylate, carboxymethyl methacrylate, 2-carboxyethyl methacrylate, 2-carboxypropyl methacrylate, 3-carboxypropyl methacrylate, 4-carboxybutyl methacrylate, and the like.

Examples of amino substituted acrylates and methacrylates include the ortho, meta, and para substituted aminophenyl acrylates, amino phenethyl acrylate, amino phenheptyl acrylate, p-aminophenoxy acrylate, 2-(dimethyl amino)ethyl acrylate, 2-(diethylamino)ethyl acrylate, 30 3-(diethylamino)propyl acrylate, 2-t-butylaminoethyl acrylate, N,N-dibutylaminoethyl acrylate, 2-t-octylaminoethyl acrylate, 7-amino-3,4-dimethyoctyl acrylate, ortho, meta, and para

- 6 -

substituted aminophenyl methacrylates, amino phenethyl methacrylate, amino phenheptyl methacrylate, p-aminophenoxy methacrylate, 2-(dimethylamino)ethyl methacrylate, 2-(diethylamino)ethyl methacrylate, 3-(diethylamino)propyl methacrylate, 2-t-butylaminoethyl methacrylate, N,N-dibutyl aminoethyl methacrylate, 2-t-octylaminoethyl methacrylate, 7-amino-3,4-dimethyloctyl methacrylate and the like. Examples of vinylamines include vinylamine, N,N-dimethylvinylamine, N,N-diethylvinylamine, N-methyl-N-phenylvinylamine, N,N-diphenylvinylamine, and the like.

Other polymers having the aforescribed functional groups that are contemplated for use in the coating composition of the present invention which are not prepared from monomer(s) having such functional used include but are not limited to cellulose ethers, such as sodium carboxymethylhydroxy ethyl cellulose, amino deoxycellulose, 2-aminoethyl cellulose, 2-(N',N-diethylamino)ethyl cellulose, aminoethylhydroxypropyl cellulose, and the like.

The polymer incorporated into the coating composition is present in amounts sufficient to form a coating or film in combination with the other components of the coating composition, i.e., a film forming amount, which typically is at a level of from about 1 to about 30 weight percent, based on the total weight of the coating composition, and more particularly, is present in amounts of from about 1 to about 10 weight percent. In order to form a homogeneous and transparent coating composition, the polymer should be compatible, i.e., capable of forming an organic-inorganic composite material with the acid catalyzed hydrolysis and

-7-

condensation products of the water-silane monomer mixture including by-product alcohol resulting from the hydrolysis and condensation of the silane monomer. The presence of the polymer in the coating composition assists in the formation of
5 a coating having a graded porosity, after the coating is applied to a solid surface, cured, and treated with an aqueous electrolyte solution. The thickness of the coating may range from about 80 nanometers to about 10 microns. Coatings thicker than 10 microns are contemplated; however, such
10 thicker coatings may crack when cured.

The polymer incorporated into the coating composition may contain from about 0 to about 99 weight percent, based on the total weight of the polymer, of moieties resulting from the polymerization of monomers having the
15 aforescribed functional groups with other monomers substantially free of hydroxy, carboxy and/or amino functional groups to form copolymers, terpolymers, etc. This latter group of monomers include, but are not limited to, C₁-C₂₀ alkyl acrylates, C₁-C₂₀ alkyl methacrylates, C₂-C₂₀ alkenyl acrylates, C₂-C₂₀ alkenyl methacrylates, C₅-C₈ cycloalkyl acrylates, C₅-C₈ cycloalkyl methacrylates, phenyl acrylates, phenyl methacrylates, phenyl(C₁-C₉)alkyl acrylates, phenyl(C₁-C₉)alkyl methacrylates, substituted phenyl(C₁-C₉)alkyl acrylates, substituted phenyl(C₁-C₉)alkyl methacrylates,
25 phenoxy(C₁-C₉)alkyl acrylates, phenoxy(C₁-C₉)alkyl methacrylates, substituted phenoxy(C₁-C₉)alkyl acrylates, substituted phenoxy(C₁-C₉)alkyl methacrylates, C₁-C₄ alkoxy(C₂-C₄)alkyl acrylates, C₁-C₄ alkoxy(C₂-C₄)alkyl methacrylates, C₁-C₄ alkoxy(C₁-C₄)alkoxy(C₂-C₄)alkyl acrylates, C₁-C₄ alkoxy(C₁-C₄)alkoxy(C₂-C₄)alkyl methacrylates,
30 acrylates, C₁-C₄ alkoxy(C₁-C₄)alkoxy(C₂-C₄)alkyl methacrylates, C₂-C₄ oxiranyl acrylates, C₂-C₄ oxiranyl methacrylates, copolymerizable di-, tri- or tetra- acrylate

-8-

monomers, copolymerizable di-, tri-, or tetra-methacrylate monomers and perfluoroalkylethyl methacrylate monomer mixtures available as ZONYL®TM. A description of such monomers is found in U.S. Patent 5,330,686 in column 2, line 31 to column 5, line 22, which description is incorporated herein by reference.

Examples of such monomers include methyl methacrylate, ethyl methacrylate, propyl methacrylate, isopropyl methacrylate, butyl methacrylate, isobutyl methacrylate, hexyl methacrylate, 2-ethylhexyl methacrylate, nonyl methacrylate, lauryl methacrylate, stearyl methacrylate, isodecyl methacrylate, ethyl acrylate, methyl acrylate, propyl acrylate, isopropyl acrylate, butyl acrylate, isobutyl acrylate, hexyl acrylate, 2-ethylhexyl acrylate, nonyl acrylate, lauryl acrylate, stearyl acrylate, isodecyl acrylate, ethylene methacrylate, propylene methacrylate, isopropylene methacrylate, butane methacrylate, isobutylene methacrylate, hexene methacrylate, 2-ethylhexene methacrylate, nonene methacrylate, isodecene methacrylate, ethylene acrylate, propylene acrylate, isopropylene, hexene acrylate, 2-ethylhexene acrylate, nonene acrylate, isodecene acrylate, cyclopentyl methacrylate, 4-methyl cyclohexyl acrylate, benzyl methacrylate, o-bromobenzyl methacrylate, phenyl methacrylate, nonylphenyl methacrylate, benzyl acrylate, o-bromobenzyl acrylate, phenyl acrylate, nonylphenyl acrylate, phenethyl methacrylate, phenoxy methacrylate, phenylpropyl methacrylate, nonylphenylethyl methacrylate, phenethyl acrylate, phenoxy acrylate, phenylpropyl acrylate, nonylphenylethyl acrylate, 2-ethoxyethoxymethyl acrylate, ethoxyethoxyethyl methacrylate, 2-ethoxyethoxymethyl acrylate, ethoxyethoxyethyl acrylate, glycidyl methacrylate, glycidyl acrylate, 2,3-epoxybutyl methacrylate, 2,3-epoxybutyl acrylate, 3,4-epoxybutyl

- 9 -

acrylate, 3,4-epoxybutyl methacrylate, 2,3-epoxypropyl
methacrylate, 2,3-epoxypropyl acrylate, 2-methoxyethyl
methacrylate, 2-ethoxyethyl methacrylate, 2-butoxyethyl
methacrylate, 2-methoxyethyl acrylate, 2-ethoxyethyl acrylate,
5 2-butoxyethyl acrylate, tetrahydrofurfuryl acrylate,
tetrahydrofurfuryl methacrylate, ethoxylated bisphenol-A-
dimethacrylate, ethylene glycol diacrylate, 1,2-propane diol
diacrylate, 1,3-propane diol diacrylate, 1,2-propane diol
dimethacrylate, 1,3-propane diol dimethacrylate, 1,4-butane
10 diol diacrylate, 1,3-butane diol dimethacrylate, 1,4-butane
diol dimethacrylate; 1,5 pentane diol diacrylate, 2,5-
dimethyl-1,6-hexane diol dimethacrylate, diethylene glycol
diacrylate, diethylene glycol dimethacrylate, trimethylol
propane trimethacrylate, tetraethylene glycol diacrylate,
15 tetraethylene glycol dimethacrylate, dipropylene glycol
dimethacrylate, trimethylol propane triacrylate, glycerol
triacrylate, glycerol trimethacrylate, pentaerythritol
triacrylate, pentaerythritol dimethacrylate, pentaerythritol
tetraacrylate, pentaerythritol tetramethacrylate, and the
20 like.

The polymer incorporated into the coating
composition may be prepared by conventional solution
polymerization techniques that are well known in the art.
This polymerization is usually carried out in an organic
25 solvent under reflux conditions with an appropriate initiator
having a specific decomposition half-life. The polymer
conversion is usually better than 95 percent. Residual
monomer in the product can be eliminated by further addition
of initiator and longer hold time or both, as necessary. The
30 level of residual monomer can be further reduced if necessary
by redox initiators incorporated in the aqueous medium after

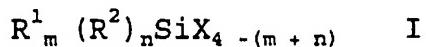
-10-

inversion from a non-aqueous medium in cases where such inversion is possible and acceptable.

Alternately, the polymer may be prepared by aqueous emulsion or dispersion polymerization, where the 5 polymerization is carried out in an aqueous medium in the presence of surface active agents using water soluble initiators, which are commonly inorganic peroxydisulfates.

The film forming polymer will typically have a number average molecular weight of between about 500 and about 10 1,000,000, preferably between about 1,000 and about 100,000 and most preferably between about 1,500 and about 25,000.

The coating composition also contains from about 1 to about 70 weight percent, preferably, from about 3 to about 15 55 weight percent, based on the total weight of the coating composition, of at least one silane monomer represented by the following general formula:



20 wherein R^1 may be C_1-C_{20} alkyl, C_1-C_{20} haloalkyl, C_2-C_{20} alkenyl, C_2-C_{20} haloalkenyl, phenyl, phenyl(C_1-C_{20})alkyl, C_1-C_{20} alkylphenyl, phenyl(C_2-C_{20})alkenyl, C_2-C_{20} alkenylphenyl, glycidoxy(C_1-C_{20}) alkyl, epoxycyclohexyl(C_1-C_{20})alkyl, morpholino, amino(C_1-C_{20})alkyl, amino(C_2-C_{20})alkenyl, 25 mercapto(C_1-C_{20})alkyl, mercapto(C_2-C_{20})alkenyl, cyano(C_1-C_{20})alkyl, cyano(C_2-C_{20})alkenyl, acryloxy, methacryloxy, or halogen. The halo or halogen may be bromo, chloro, or fluoro. Preferably, R^1 is a C_1-C_{10} alkyl, C_1-C_{10} haloalkyl, C_2-C_{10} alkenyl, phenyl, phenyl(C_1-C_{10})alkyl, C_1-C_{10} alkylphenyl, 30 glycidoxy(C_1-C_{10}) alkyl, epoxycyclohexyl(C_1-C_{10}) alkyl, morpholino, amino(C_1-C_{10}) alkyl, amino(C_2-C_{10}) alkenyl,

-11-

mercapto(C₁-C₁₀)alkyl, mercapto(C₂-C₁₀) alkenyl, cyano(C₁-C₁₀) alkyl, cyano(C₂-C₁₀) alkenyl, or halogen and the halo or halogen is chloro or fluoro.

In formula I, R² may be C₁-C₂₀ alkylene, C₂-C₂₀ 5 alkenylene, phenylene, C₁-C₂₀ alkylenephenylene, amino(C₁-C₂₀)alkylene, amino(C₂-C₂₀) alkenylene; X may be hydrogen, halogen, hydroxy, C₁-C₅ alkoxy, C₁-C₅ alkoxy(C₁-C₅)alkoxy, C₁-C₄ acyloxy, phenoxy, C₁-C₃ alkylphenoxy, or C₁-C₃ 10 alkoxyphenoxy, said halo or halogen being bromo, chloro or fluoro; m and n are each an integer of from 0 to 2; and the sum of m and n is an integer of from 0 to 3. Preferably, R² is a C₁-C₁₀ alkylene or C₂-C₁₀ alkenylene group, phenylene, C₁-C₁₀ alkylenephenylene, amino(C₁-C₁₀)alkylene, amino(C₂-C₁₀) alkenylene, X is hydrogen, halogen, hydroxy, C₁-C₃ alkoxy, 15 C₁-C₃ alkoxy(C₁-C₃)alkoxy, C₁-C₂ acyloxy, phenoxy, C₁-C₂ alkylphenoxy, or C₁-C₂ alkoxyphenoxy, and the halo or halogen is chloro or fluoro.

Suitable silane monomers include
glycidoxymethyltriethoxysilane, alpha-glycidoxymethyltrimethoxysilane, alpha-glycidoxymethyltriethoxysilane,
20 beta-glycidoxymethyltrimethoxysilane, beta-glycidoxymethyltriethoxysilane, alpha-glycidoxymethyltrimethoxysilane,
beta-glycidoxymethyltrimethoxysilane, alpha-glycidoxymethyltriethoxysilane,
alpha-glycidoxymethyltrimethoxysilane, beta-glycidoxymethyltrimethoxysilane,
gamma-glycidoxymethyltrimethoxysilane, gamma-glycidoxymethyltrimethoxysilane,
25 gamma-glycidoxymethylmethyldimethoxysilane, gamma-glycidoxymethylmethylethoxysilane, methyltrimethoxysilane, methyltriethoxysilane, methyltrimethoxyethoxysilane, methyltriacetoxyethoxysilane, methyltripropoxysilane, methyltributoxysilane,
30 ethyltrimethoxysilane, ethyltriethoxysilane, gamma-methacryloxypropyltrimethoxysilane, gamma-aminopropyltrimethoxysilane, gamma-aminopropyltriethoxysilane, gamma-mer-

-12-

- captopropyltrimethoxysilane, chloromethyltrimethoxysilane,
chloromethytriethoxysilane, dimethyldiethoxysilane,
gamma-chloropropylmethyldimethoxysilane, gamma-chloropropyl-
methyldiethoxysilane, tetramethylorthosilicate,
5 tetraethylorthosilicate, hydrolyzates of such silane monomers,
and mixtures of such silane monomers and hydrolyzates thereof.

The coating composition also contains a catalytic amount of water-soluble acid catalyst. A catalytic amount is that amount which is sufficient to cause polycondensation of
10 the silane monomers and their subsequent cross-linking with the functional group-containing polymer. Typically, the catalytic amount of acid catalyst will range from about 0.01 to about 10.0 weight percent, based on the total weight of the coating composition. The water-soluble acid catalyst may be
15 an organic carboxylic acid or inorganic acid selected from the group consisting of acetic, formic, glutaric, maleic, nitric, sulfuric, and hydrochloric acids. Water is also present in the coating composition in an amount sufficient to form the silane monomer hydrolysis products, the condensation products,
20 and mixtures thereof, and to solubilize the acid catalyst.
The sum of all of the components of the coating composition totals 100 weight percent.

The coating composition may further contain from about 0 to about 20 weight percent, based on the total weight
25 of the coating composition, of a compound having the empirical formula:



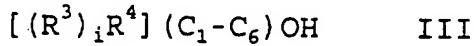
wherein M is a metal selected from the group consisting of
30 titanium, aluminum, zirconium, boron, tin, indium, antimony, and zinc, Y is selected from the group consisting of C₁-C₁₀ alkoxy and acetylacetone, and p is an integer equivalent to

-13-

the valence of M. Preferably, the coating composition contains from about 0 to about 10 weight percent of the compound represented by empirical formula II, wherein M is selected from the group consisting of titanium, aluminum, 5 boron, and zirconium, and Y is C₁-C₅ alkoxy, e.g., methoxy and ethoxy.

A compatible organic polymer substantially free of hydroxy, amino and carboxy functional groups may also be present in the coating composition in addition to the polymer 10 having the aforescribed functional groups. This compatible polymer may be present in amounts from about 0.1 to about 15 weight percent, based on the total weight of the coating composition, more preferably in amounts of from about 1 to about 5 weight percent. Suitable organic polymers include 15 polyacrylates, polymethacrylates, poly(C₁-C₁₂)alkyl methacrylates, poly(C₁-C₁₂)alkyl acrylates, polyoxy(alkylene methacrylates), poly(alkoxylated phenol methacrylates), methylcellulose, ethylmethylcellulose, cellulose acetate, cellulose triacetate, cellulose acetate propionate, cellulose 20 acetate butyrate, poly(vinyl acetate), poly(vinyl alcohol), polyesters, polyurethanes, poly(ethylene terephthalate), copoly(styrene-methylmethacrylate), copoly(styrene-acrylonitrile), polyvinyl pyrrolidone, and polyvinylbutyral.

A solvating amount of organic solvent may also be 25 present in the coating composition. A solvating amount is that amount which is sufficient to solubilize the silane monomers in the coating composition. The solvating amount may represent up to about 80 weight percent, based on the total weight of the coating composition. Suitable organic solvents 30 include ethyl acetate, tetrahydrofuran, C₁-C₆ alkanol, and aliphatic alcohols of the empirical formula:



-14-

wherein R³ and R⁴ are each C₁-C₄ alkoxy, and i is the integer 0 or 1. Such solvents include methanol, ethanol, 2-ethoxyethanol, 2-(2-methoxyethoxy) ethanol, 2-methoxy ethanol, 5 2-(2-ethoxymethoxy) ethanol, 1-propanol, 2-propanol, and 1-methoxy-2-propanol.

The coating composition may also contain a leveling amount of surfactant. A leveling amount is that amount which is sufficient to allow the coating composition to spread 10 evenly and homogeneously (or to level the coating composition) on the surface of the substrate to which it is applied.

Preferably, the surfactant is a liquid at the conditions of use and is used in amounts of from about 0.01 to about 1.0 weight percent, based on the weight of the silane monomer in 15 the coating composition. Suitable surfactants include anionic, cationic, nonionic, and amphoteric surfactants, which are described in the Kirk Othmer Encyclopedia of Chemical Technology, Third Edition, Volume 22, pages 332 to 432.

Particularly, the anionic surfactants include carboxylic acids 20 and their salts, sulfonic acids and their salts, sulfuric acid esters and their salts, phosphoric and polyphosphoric acid esters and their salts; the nonionic surfactants include ethoxylated alcohols, ethoxylated alkylphenols, ethoxylated carboxylic esters, ethoxylated carboxylic amides, and 25 fluorosurfactants; the cationic surfactants include oxygen-free amines, oxygen-containing amines, amide-linked amines, and quaternary ammonium salts; and the amphoteric surfactants include imidazolinium derivatives, alkyl betaines, and amidopropyl betaines. The nonionic surfactants are preferred.

30 More particularly, the nonionic surfactant may be selected from the group consisting of ethoxylates of primary, secondary and branched paraffinic alcohols, wherein the

-15-

alcohol contains from about 6 to 20 carbon atoms and the average number of ethoxy groups range from about 1 to about 20; alkyl phenol ethoxylates and dialkyl phenol ethoxylates, wherein each of the alkyl substituents contains from about 6 to about 12 carbon atoms and the average number of ethoxy groups range from about 1 to about 24; benzyl, propyleneoxy, butyleneoxy, phenoxy and C₁-C₄ alkoxy capped (C₆-C₁₂) alkyl phenol ethoxylates, wherein the average number of propyleneoxy or butyleneoxy groups in the molecule may range from 1 to 5; glycerol esters of fatty acids containing from 4 to 22 carbon atoms; ethoxylates and propoxylates of fatty acids wherein the fatty acids contain from 4 to 22 carbon atoms and the average number of ethoxy or propoxy groups is from 1 to 24; sorbitan fatty acid esters wherein the fatty acids contain from 4 to 22 carbon atoms and ethoxylated sorbitan fatty acids esters wherein the average number of ethoxy groups is from about 3 to 20; fatty acid alkanolamides, such as fatty acid diethanolamides, where the fatty acid contains from 4 to 22 carbon atoms and the alkanolamide contains from 0 to 4 carbon atoms; amine ethoxylates such as tertiary amine ethoxylates, e.g., R⁵N(R')R'', wherein R⁵ is a group containing from about 4 to 22 carbon atoms, such as the residue of a fatty acid, and R' and R'' are each ethoxy or polyethoxy groups having an average of 1 to 6 ethoxy groups; block copolymers of ethylene oxide and propylene oxide, e.g., ethoxylated polyoxypropylene glycols and propoxylated polyoxyethylene glycols; acetylenic diols and ethoxylated acetylenic diols; fluorosurfactants, i.e., a fluorocarbon containing organic, e.g., alkoxy, or inorganic, e.g., sulfate, surface active and water solubilizing (polar) groups that orient the hydrophilic portion of the surfactant in the aqueous phase; and capped nonionics represented by the formula R⁶(OCH₂CH₂)_sR⁷ wherein R⁶

-16-

is C₆ to C₂₀ linear or branched alkyl, R⁷ is selected from halogen, e.g., chloro, fluoro or bromo, benzyl, phenoxy, C₁ to C₄ alkoxy or -O(C_dH_{2d}O)_eH, wherein d is 3 or 4 and e is 1 to 5, and s denotes the average number of ethylene oxide units 5 and is a whole or fractional number ranging from 3 to 20.

Advantageously, the nonionic surfactant is an ethoxylated alkyl phenol, such as the IGEPAL® DM surfactants or octyl phenoxy polyethoxyethanol (available as TRITON® X-100), an acetylenic diol such as 2,4,7,9-tetramethyl-5-decyne-10, 4,7-diol (available as SURFYNOL® 104), ethoxylated acetylenic diols, such as the SURFYNOL® 400 surfactant series, fluorosurfactants, such as the FLUORAD® fluoroochemical surfactant series, and capped nonionics such as the benzyl capped octyl phenol ethoxylates (available as TRITON® CF87), 15 the propylene oxide capped alkyl ethoxylates, which are available as the PLURAFAC® RA series of surfactants, and octylphenoxyhexadecylethoxy benzyl ether.

Still more particularly, the nonionic surfactant(s) is selected from the group consisting of ethoxylated alkyl phenols, fluoroaliphatic polymeric esters, such as FLUORAD® 20 FC-430, and fluorinated alkyl polyoxyethylene ethanols, such as FLUORAD® FC-170-C.

The coating composition may also contain from about 0 to about 10 weight percent, based on the total weight of the 25 coating composition, of a fluorinated silane. The fluorinated silane, as used herein may be defined as substances representable by the empirical formula:

F(CF₂)_gCH₂CH₂Si(CH₃)_hZ_{3-h}, wherein Z is chloro, methoxy or ethoxy, g is an integer selected from the integers 1 to 10, 30 and h is the integer 0, 1 or 2.

Examples of such fluorinated silanes include trifluoroacetoxypropyl tri-(C₁-C₂)alkoxysilanes, 3-

-17-

(heptafluoroisopropoxy)propyltrichlorosilane, 3-
(heptafluoroisopropoxy)propyltriethoxysilane, N-(3-
triethoxysilylpropyl) perfluoroctanoamide, N-(3-
triethoxysilylpropyl) perfluoro(2,5-dimethyl-3,6-dioxo-
5 ananonoyl)amide, (tridecafluoro-1,1,2,2-tetrahydrooctyl)-1-
dimethylchlorosilane, (tridecafluoro-1,1,2,2-tetrahydrooctyl)-
1-methyldichlorosilane, (tridecafluoro-1,1,2,2-tetrahydro-
octyl)-1-trichlorosilane, tridecafluoro-1,1,2,2-tetrahydro-
octyl-1-triethoxysilane, 3,3,3-trifluoropropyldimethyl-
10 chlorosilane, (3,3,3-trifluoropropyl)methyldichlorosilane,
(3,3,3-trifluoropropyl)methyldimethoxysilane, (3,3,3-tri-
fluoropropyl)methyldimethoxysilane, (3,3,3-trifluoro-
propyl)trichlorosilane, (3,3,3-trifluoropropyl)trimethoxy-
silane, 1H,1H,2H,2H-perfluoroalkyltriethoxysilane,
15 1H,1H,2H,2H-perfluorodecyldimethylchlorosilane, 1H,1H,2H,2H-
perfluorodecylmethyldichlorosilane, 1H,1H,2H,2H-perfluoro-
trichlorosilane, 1H,1H,2H,2H-perfluorotriethoxysilane,
1H,1H,2H,2H-perfluoroctylmethyldichlorosilane, 1H,1H,2H,2H-
perfluoroctyltrichlorosilane, and 1H,1H,2H,2H-perfluoro-
20 octyltriethoxysilane.

The coating composition of the present invention may be prepared by adding the acid catalyst to a reaction flask containing an aqueous suspension of the selected silane monomer(s). After mixing for about 5 minutes, the selected polymer(s) is added to the reaction flask and the contents are stirred until a clear solution is obtained. Organic solvent and/or surfactant may be added with additional stirring for from about 2 to about 18 hours depending on the acid catalyst used. For example, the use of a strong acid, such as nitric acid, would rapidly catalyze the hydrolysis reaction, whereas the use of a weak acid, such as acetic acid, would take longer. The pH of the coating solution is adjusted to from

-18-

about 4 to about 5 with a compatible alkaline reagent, such as an aqueous solution of tetramethylammonium hydroxide, if necessary. Prior to use as a coating composition, the mixture is filtered through a suitable filter, such as a Whatman 934-5 AH filter.

The coating composition of the present invention, or an acid catalyzed sol-gel coating composition, substantially free of preformed oxide sol and preformed water soluble metal salts, i.e., metal salts having a solubility in water of 10 greater than 30 grams per 100 grams of water at 25°C, may be applied to the surface of a host material using any suitable conventional coating method. A coating method such as that described in U.S. Patent 3,971,872 may be used. Conventional coating methods include flow coating, dip coating, spin 15 coating, roll coating, curtain coating and spray coating. Application of the coating is typically done in an environment that is substantially free of dust. The coating may also be applied to a substrate previously coated with an antistatic, conductive, hardcoat, or a similar type of coating.

20 The acid catalyzed sol-gel coating composition substantially free of preformed oxide sol and preformed water soluble metal salts may include polymerizable organic monomers or polymers that contain or that are free of the aforescribed hydroxy, amino, and/or carboxy functional 25 groups. If the coating composition is substantially free of the aforementioned monomers and/or polymers, the silane monomer chosen may be selected on the basis of its impact on the time required for the aqueous electrolyte solution treatment of the cured coating to produce a graded porosity. 30 For example, to reduce the treatment time necessary, methyltriethoxy silane may be used in place of methyltrimethoxy silane, an amino containing silane, such as

-19-

aminopropyltrimethoxy silane, may be added or the amount of certain silanes used, such as gamma glycidoxypropyltrimethoxy silane, may be minimized. Other parameters such as abrasion resistance and percent transmission of the cured

- 5 antireflective coating may be effected by the selection of the coating composition components. It is expected that one skilled in the art can make modifications to the formulation of the coating composition to obtain the desired characteristics of the cured antireflective coating.

10 It is typical to treat the surface of the substrate to be coated prior to applying the coating composition for the purposes of cleaning the surface and promoting adhesion.

Effective treatment techniques for plastics, such as those prepared from CR39® allyl diglycol carbonate monomer, include
15 ultrasonic cleaning; washing with an aqueous mixture of organic solvent, e.g., a 50:50 mixture of isopropanol:water or ethanol:water; activated gas treatment, e.g., treatment with low temperature plasma or corona discharge, and chemical treatment such as hydroxylation, i.e., etching of the surface
20 with an aqueous solution of alkali, e.g., sodium hydroxide or potassium hydroxide, that may also contain a fluorosurfactant. See U.S. Patent 3,971,872, column 3, lines 13 to 25; U.S. Patent 4,904,525, column 6, lines 10 to 48; and U.S. Patent 5,104,692, column 13, lines 10 to 59, which describe surface
25 treatments of organic polymeric materials.

The treatment used for cleaning glass surfaces will depend on the type of dirt present on the glass surface. Such treatments are known to those skilled in the art. For example, washing the glass with an aqueous solution that may
30 contain a low foaming, easily rinsed detergent, followed by rinsing and drying with a lint-free cloth; and ultrasonic bath treatment in heated (about 50°C) wash water, followed by

-20-

rinsing and drying. Pre-cleaning with an alcohol-based cleaner or organic solvent prior to washing may be required to remove adhesives from labels or tapes.

Following application of the coating composition to
5 the treated surface of the substrate, the coating is cured by
heating it to a temperature of between about 80°C and a
temperature above which the substrate is damaged due to
heating, e.g., 80°C to about 130°C. For example, organic
polymeric materials may be heated up to about 130°C for a
10 period of about 1 to 16 hours in order to cure the coating.

While a range of temperatures has been provided for curing the
coated substrate, it will be recognized by persons skilled in
the art that temperatures other than those disclosed herein
may be used. Additional methods for curing the coating
15 composition include irradiating the coating with infrared,
ultraviolet, gamma or electron radiation so as to promote the
polymerization reaction of the polymerizable components in the
coating.

The process of producing an antireflective coating
20 in accordance with the present invention comprises contacting
the cured coating with an aqueous electrolyte solution for a
time sufficient to produce a coating having graded porosity,
i.e., a coating the porosity of which decreases through the
thickness of the coating along a path starting at a point on
25 the surface of the coating and proceeding to a point adjacent
to the surface of the coated article. The graded porosity
causes a reduction of the refractive index of the coating.
When the refractive index of the coating is less than or equal
to the refractive index of the substrate, more light will pass
30 through the coating and less light will be reflected. A
coating with graded porosity will demonstrate a broad band
antireflective effect, i.e., reflect light over the

-21-

entire range of the visible spectrum, i.e., from 400 to 700 nanometers.

More particularly, the cured coating is contacted with an aqueous electrolyte solution of the present invention having a temperature of from about 20°C to the boiling temperature of the aqueous electrolyte solution, e.g., 100-103°C, for a time sufficient to produce a coating having a graded porosity. The application of ultrasonic frequencies, e.g., 40 to 50 KHz, to provide consistent cavitation where the cured coating is in contact with the aqueous electrolyte solution during the treatment step may reduce the time necessary to produce an antireflective coating. The treated coating may be contacted subsequently with an aqueous rinsing solution for a time and in a manner sufficient to remove residual products resulting from the treatment step and the coating subsequently dried.

The aqueous electrolyte solution used in the process of the present invention comprises an aqueous solution of hydrogen chloride, tetra(C₁-C₁₂)alkyl ammonium salts, tetra(C₁-C₁₂)alkyl ammonium hydroxide, metal salts, hydrates of said salts, metal hydroxides, hydrates of said hydroxides or mixtures thereof, having a pH from about 4 to about 12. Preferably, the salt of the tetra(C₁-C₁₂) alkyl ammonium salt or metal salt is selected from the group consisting of carbonate, carboxylate, sulfonate, sulfate, nitrate, perchlorate, phosphate, halide, e.g., fluoride, chloride, bromide, and iodide salts and mixtures of such salts having a pH from about 7 to about 9. Preferably, the metal of the aqueous metal salt solution is an alkaline earth metal or alkali metal. Suitable metals include lithium, sodium, potassium, calcium, barium, magnesium, and strontium.

-22-

Examples of aqueous electrolyte solutions include aqueous solutions of tetramethylammonium hydroxide; tetraethylammonium iodide, tetrapropylammonium perchlorate, tetrabutylammonium sulfate, tetrapentylammonium carbonate, 5 tetrahexylammonium chloride, tetraheptylammonium bromide, tetraoctylammonium citrate, tetranonylammonium stearate, tetradecyl ammonium nitrate, tetradecyltrimethylammonium phosphate, tetraundecylammonium sulfonate, tetradodecylammonium fluoride, magnesium hydroxide, lithium 10 hydroxide, sodium hydroxide, potassium hydroxide, calcium hydroxide, barium hydroxide, aluminum hydroxide, sodium iodide, lithium perchlorate, potassium acetate, calcium sulfate, barium citrate, lithium sulfate, sodium sulfonate, lithium nitrate, potassium bromide, stannic chloride, calcium 15 chloride, calcium carbonate, magnesium carbonate, sodium carbonate, barium fluoride, sodium phosphate, calcium stearate, hydrates of such salts, and mixtures of such salts or hydrates thereof.

The concentration of the electrolyte in the aqueous 20 electrolyte solution may vary widely, e.g., from the part per million range found in tap water to saturation levels for slightly soluble salts such as magnesium carbonate. Typically, the concentration of electrolyte will vary from about 0.0001 to about 1.0 weight percent, based on the total 25 weight of the aqueous electrolyte solution.

The substrates to which the coating compositions of the present invention may be applied include: glass, metals, ceramics, and organic polymeric materials. Examples of organic polymeric materials include polymers, i.e., 30 homopolymers and copolymers, of polyol(allyl carbonate) monomers, diethylene glycol dimethacrylate monomers, diisopropenyl benzene monomers, and alkoxylated polyhydric

-23-

- alcohol acrylate monomers, such as ethoxylated trimethylol propane triacrylate monomers, polymers, i.e., homopolymers and copolymers, of polyfunctional, i.e., mono-, di-, tri-, or tetra-functional, acrylate and/or methacrylate monomers.
- 5 polyacrylates, polymethacrylates, poly(C₁-C₁₂) alkylacrylates such as a poly(methyl methacrylate), polyoxy (alkylene methacrylates) such as poly(ethylene glycol bis methacrylates), poly(ethoxylated phenol methacrylates), such as poly(ethoxylated bisphenol A dimethacrylates) cellulose
- 10 acetate, cellulose triacetate, cellulose acetate propionate, cellulose acetate butyrate, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride); poly(vinylidene chloride), polyurethanes, thermoplastic polycarbonates, polyesters, poly(ethylene terephthalate), polystyrene, copoly(styrene-
- 15 methyl methacrylates) copoly(styrene-acrylonitrile), polyvinylbutyral and polymers, i.e., homopolymers and copolymers, of diallylidene pentaerythritol, particularly copolymers with polyol(allyl carbonate) monomers, e.g., diethylene glycol bis(allyl carbonate), and acrylate monomers.
- 20 Transparent copolymers and blends of transparent polymers are suitable as substrates for optical articles to which the coating composition of the present invention may be applied. Preferably, the substrate is an optically clear polymerized organic material prepared from a thermoplastic
- 25 polycarbonate resin, such as the carbonate-linked resin derived from bisphenol A and phosgene, which is sold under the trademark LEXAN; a polyester, such as the material sold under the trademark MYLAR; a poly(methyl methacrylate), such as the material sold under the trademark PLEXIGLAS; polymerizates of
- 30 a polyol(allyl carbonate) monomer, especially diethylene glycol bis(allyl carbonate), which monomer is sold under the trademark CR-39, and polymerizates of copolymers of a polyol

-24-

(allyl carbonate), e.g., diethylene glycol bis(allyl carbonate), with other copolymerizable monomeric materials, such as copolymers with vinyl acetate, and copolymers with a polyurethane having terminal diacrylate functionality, as described in U.S. Patent 4,360,653 and 4,994,208; and copolymers with aliphatic urethanes, the terminal portion of which contain allyl or acrylyl functional groups, as described in U.S. Patent 5,200,483; poly(vinyl acetate), polyvinylbutyral, polyurethane, polymers of members of the group consisting of diethylene glycol dimethacrylate monomers, diisopropenyl benzene monomers, and ethoxylated trimethylol propane triacrylate monomers; cellulose acetate, cellulose propionate, cellulose butyrate, cellulose acetate butyrate, polystyrene and copolymers of styrene with methyl methacrylate, vinyl acetate and acrylonitrile.

The organic polymeric material may be in the form of optical elements such as windows, plano and vision correcting ophthalmic lenses, exterior viewing surfaces of liquid crystal displays, cathode ray tubes e.g., video display tubes for televisions and computers, clear polymeric films, automotive transparencies, e.g., windshields, aircraft transparencies, plastic sheeting, etc. Application of the antireflective coating of the present invention to a polymeric film in the form of an "applique" may be accomplished using the methods described in column 17, line 28 to column 18, line 57 of U.S. Patent 5,198,267.

The present invention is more particularly described in the following examples which are intended as illustrative only, since numerous modifications and variations therein will be apparent to those skilled in the art.

-25-

Example 1

Step 1

The following materials were added to a suitable reaction vessel equipped with an agitator, a reflux column, a 5 thermocouple, and a heating mantle:

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol (1)	3272.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
Acrylic acid	63
2-Hydroxyethyl methacrylate	2318.4
N,N-Dimethylaminoethyl-methacrylate	277.2
Ethanol	1050
VAZO®67 (2)	133

10 (1) Ethanol used in all of the examples was a technical grade comprising the following identified components listed in weight percentage, based on the total weight of the solvent: ethanol - 84.4%, methanol - 4.4%, methylisobutyl ketone - 0.94%, ethyl acetate - 4.72%, and water - 5.49%, 15 accounting for 99.95% of the solvent.

(2) VAZO®67 chemically is 2,2'-azobis-(2-methylbutyronitrile) and is available from E.I. duPont de Nemours and Company.

20 Charge 1 was initially added to the reaction vessel and heat was applied with agitation until the reflux temperature was reached. Charge 2 was fed into the reaction vessel in a continuous manner over a period of 3 hours. The reflux temperature was maintained throughout the addition of 25 Charge 2. With the completion of the addition of Charge 2, the reaction mixture was held for an additional 3 hours at the

-26-

reflux temperature. The contents of the reaction vessel were then cooled and transferred to a suitable container. The resulting polymer solution had a total solids content, based on total solution weight, of 40.6 percent and the number 5 average molecular weight of the polymer was calculated to be 10,862.

Step 2

Methyltrimethoxysilane (45 grams), gamma-
10 glycidoxypropyl trimethoxysilane (4.7 grams),
tetraethylorthosilicate (15 grams), acetic acid (6 grams), and
water (30 grams) were added to a reaction flask. The solution
was stirred for 5 minutes. The polymer solution of Step 1 (4
grams) was added to the reaction flask which was stirred until
15 a clear solution was obtained. Ethanol (30 grams) was added
and the clear solution was maintained at room temperature for
about 18 hours. Afterwards, FLUORAD® FC-430, a
fluoroaliphatic polymeric ester surfactant (0.5 grams) was
added to the solution and the solution stirred for 30 minutes.
20 The solution was filtered through a Whatman 934-AH filter
prior to use as a coating composition.

Example 2

Step 1

25 The procedure of Step 1 of Example 1 was used
except for the following changes:

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

-27-

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
2-Hydroxyethyl methacrylate	342
N,N-Dimethylaminoethyl-methacrylate	39.6
Ethanol	150
VAZO®67	19.1

The resulting polymer solution had a total solids content, based on total solution weight, of 40 percent and the 5 number average molecular weight of the polymer was calculated to be 12,638.

Step 21

Methyltrimethoxysilane (216 grams), gamma-glycidoxypropyl trimethoxysilane (21.6 grams), tetraethylorthosilicate (72 grams), acetic acid (28.8 grams), and water (144 grams) were added to a reaction flask. The solution was stirred for 5 minutes. The polymer solution of Step 1 (19.2 grams) was added to the reaction flask and the 15 contents stirred until a clear solution was obtained. Ethanol (192 grams) was added and the clear solution was maintained at 30°C for 4 hours and then at room temperature for about 14 hours. Afterwards, FLUORAD® FC-430, a fluoroaliphatic polymeric ester surfactant (2 grams), was added to the 20 solution and the solution stirred for 30 minutes. The solution was filtered through a Whatman 934-AH filter prior to use as a coating composition.

-28-

Example 3

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
Acrylic acid	19.1
2-Hydroxyethyl methacrylate	362.5
Ethanol	150
VAZO®67	19.1

The resulting polymer solution had a total solids content, based on total solution weight, of 42.8 percent and the number average molecular weight of the polymer was calculated to be 11,762.

Step 2

The procedure of Step 2 of Example 1 was followed except that 4 grams of the polymer solution from Step 1 of Example 3 was used.

Example 4

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

-29-

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
2-Hydroxyethyl methacrylate	286.2
N,N-Dimethylaminoethyl-methacrylate	95.4
Ethanol	150
VAZO®67	19.1

The resulting polymer solution had a total solids
5 content, based on total solution weight, of 41.9 percent and
the number average molecular weight of the polymer was
calculated to be 13,941.

Step 2

10 Methyltrimethoxysilane (54 grams), gamma-glycidoxypropyl trimethoxysilane (5.4 grams), tetraethylorthosilicate (18 grams), acetic acid (7.2 grams), and water (36 grams) were added to a reaction flask. The solution was stirred for 5 minutes. The polymer solution of
15 Step 1 (4.8 grams) was added to the reaction flask, the contents of which were stirred until a clear solution was obtained. Ethanol (48 grams) was added and the clear solution was maintained at room temperature for about 18 hours.
Afterwards, FLUORAD® FC-430, a fluoroaliphatic polymeric ester
20 surfactant (0.5 grams) was added to the solution and the solution was stirred for 30 minutes. The solution was filtered through a Whatman 934-AH filter prior to use as a coating composition.

-30-

Example 5

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

5

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
2-Hydroxyethyl methacrylate	190.8
N,N-Dimethylaminoethyl-methacrylate	190.8
Ethanol	150
VAZO®67	19.1

The resulting polymer solution had a total solids
10 content, based on total solution weight, of 39.7 percent and
the number average molecular weight of the polymer was
calculated to be 11,939.

Step 2

15 The procedure of Step 2 of Example 4 was followed except that 4.8 grams of the polymer solution from Step 1 of Example 5 was used.

Example 6

20

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

-31-

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
N,N-Dimethylaminoethyl-methacrylate	381.6
Ethanol	150
VAZO®67	19.1

5 The resulting polymer solution had a total solids content, based on total solution weight, of 37.5 percent and the number average molecular weight of the polymer was calculated to be 5,765.

10

Step 2

Methyltrimethoxysilane (540 grams), gamma-glycidoxypropyl trimethoxysilane (108 grams), tetraethylorthosilicate (18 grams), acetic acid (72 grams), and water (360 grams) were added to a reaction flask. The 15 solution was stirred for 5 minutes. The polymer solution of Step 1 (480 grams) was added to the reaction flask, the contents of which were stirred until a clear solution was obtained. 1-Propanol (480 grams) was added and the clear solution was maintained at room temperature for about 18 hours. Afterwards, FLUORAD® FC-430, a fluoroaliphatic polymeric ester surfactant (5 grams) was added to the solution and the solution was stirred for 30 minutes. The solution was filtered through a Whatman 934-AH filter prior to use as a coating composition.

-32-

Example 7

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

5

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	3272.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
Acrylic acid	63
2-Hydroxyethyl methacrylate	2608.2
Ethanol	1050
VAZO®67	133.7

10 The resulting polymer solution had a total solids content, based on total solution weight, of 41.8 percent and the number average molecular weight of the polymer was calculated to be 11,533.

Step 2

15 Methyltrimethoxysilane (90 grams), gamma-glycidoxypropyl trimethoxysilane (9 grams), tetraethylorthosilicate (30 grams), acetic acid (12 grams), and water (60 grams) were added to a reaction flask. The solution was stirred for 5 minutes. The polymer solution of
20 Step 1 (6 grams) was added to the reaction flask, the contents of which were stirred until a clear solution was obtained. Ethanol (40 grams) was added and the clear solution was maintained at room temperature for about 18 hours. Afterwards, FLUORAD® FC-430, a fluoroaliphatic polymeric ester
25 surfactant (0.5 grams) was added to the solution and the

-33-

solution was stirred for 30 minutes. The solution was filtered through a Whatman 934-AH filter prior to use as a coating composition.

5

Example 8

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Isopropanol (Anhydrous)	467.5

10

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
Acrylic acid	9
N,N-Dimethylaminoethyl-methacrylate	39.6
Methyl methacrylate	331.2
Isopropanol (Anhydrous)	150
VAZO®67	19

The resulting polymer solution had a total solids content, based on total solution weight, of 39.4 percent and 15 the number average molecular weight of the polymer was calculated to be 5,072.

Step 2

The procedure of Step 2 of Example 1 was followed 20 except that 4 grams of the polymer solution from Step 1 of Example 8 was used.

-34-

Example 9

Step 1

The procedure of Step 1 of Example 1 was used except for the following changes:

5

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
Acrylic acid	9
2-Hydroxyethyl methacrylate	287.7
t-Butylaminoethyl methacrylate	46.7
Methyl methacrylate	38.2
Ethanol	150
VAZO®67	19.1

10 The resulting polymer solution had a total solids content, based on total solution weight, of 40.5 percent and the number average molecular weight of the polymer was calculated to be 8,638.

Step 2

15 The procedure of Step 2 of Example 4 was followed except that 4.8 grams of the polymer solution from Step 1 of Example 9 was used.

Example 10

Step 1

20 The procedure of Step 1 of Example 1 was used except for the following changes:

-35-

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Ethanol	467.5

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
Acrylic acid	9
Hydroxyethyl acrylate	294.8
N,N-Dimethylaminoethyl-methacrylate	39.6
Methyl methacrylate	38.2
Ethanol	150
VAZO®67	19.1

- 5 The resulting polymer solution had a total solids content, based on total solution weight, of 40.3 percent and the number average molecular weight of the polymer was calculated to be 2,892.

10

Step 2

The procedure of Step 2 of Example 4 was followed except that 4.8 grams of the polymer solution from Step 1 of Example 10 was used.

15

Example 11

Step 1

- The procedure of Step 1 of Example 1 was used except for the following: Charge 2 was added to the reaction flask over a 2 hour period instead of 3 hours; and the following
20 changes to Charge 1 and Charge 2.

-36-

Charge 1

<u>Material</u>	<u>Weight (grams)</u>
Methyl ethyl ketone	133.6

Charge 2

<u>Material</u>	<u>Weight (grams)</u>
ZONYL®TM (3)	20
2-Hydroxyethyl methacrylate	20
N,N-Dimethylaminoethyl-methacrylate	25
Methyl methacrylate	35
Methyl ethyl ketone	20
VAZO®67	2.4

- 5 (3) ZONYL®TM chemically is a perfluoroalkylethyl methacrylate monomer mixture and is available from E.I. duPont de Nemours and Company.

The resulting polymer solution had a total solids content, 10 based on total solution weight, of 39.5 percent. In order to recover the polymer in an aqueous solution by inversion, acetic acid (9.6 grams) and water (375 grams) were added to the polymer solution with mixing and the polymer solution was heated to 98°C and held at that temperature for 3 hours with 15 agitation. The resulting aqueous polymer solution had a total solids content, based on total solution weight, of 24.3 percent.

Step 2

20 The procedure of Step 2 of Example 4 was followed except that 4.8 grams of the polymer solution from Step 1 of Example 11 was used.

-37-

Example I

The procedure of Step 2 of Example 2 was followed except that no polymer product was added.

5

Example 12

Part A

Test samples of 1/8 inch (0.3 centimeters) by 2 inches (5.1 centimeters) by 2 inches (5.1 centimeters) square polymerizates prepared from CR-39® diethylene glycol bis(allyl carbonate) monomer were cleaned in an ultrasonic bath filled with an ultrasonic cleaning solution for ten minutes and then soaked in either a 15 weight percent aqueous sodium hydroxide or tetramethylammonium hydroxide solution for about 5 minutes at 55 to 60°C as indicated in Table 1. The ultrasonic cleaner 10 was a Branson B-220 model rated at 50/60 Hz, 117 volts and 1.0 amperes. The ultrasonic cleaning solution was prepared by diluting Fisherbrand Ultrasonic Cleaning Solution Concentrate 15 with water in a ratio of 10:1 (water: concentrate).

Afterwards, the test samples were rinsed first with water, 20 secondly with a 50:50 mixture of isopropanol:water, and then dried in a nitrogen gas stream. The coating compositions of Example I and Examples 1 through 11 were applied to the test samples by dip coating. The Coating Solution Age, i.e., the number of days between preparation of the coating composition 25 and coating of the test samples, is listed in Table 1. The withdrawal rate used was approximately 8 to 10 centimeters per minute. Afterwards, the coated test samples were dried and cured in an air-circulating oven for about 3 hours at 120°C. The coated and cured test samples were further treated by 30 immersion in an aqueous electrolyte solution (tap water) containing the following cationic species in the following approximate concentrations: barium (0.04 ppm), calcium (31

-38-

ppm), iron (0.03 ppm), magnesium (7 ppm), sodium (8 ppm), silicon (1.8 ppm), strontium (0.12 ppm), zinc (0.02 ppm); and the following anionic species: fluoride (1 ppm), chloride (17 ppm), nitrate (1.7 ppm), and sulfate (81 ppm) maintained at a 5 temperature of approximately 100°C for the Treatment Time (Minutes) listed in Table 1.

Part B

Abrasion resistance of the coated and cured test 10 samples prepared in Part A was determined using ASTM Test Method F735-81. The test samples were exposed to 300 cycles of oscillation in the ASTM Test Method. The Bayer Abrasion Resistance Index (BARI), listed in Table 1, was calculated by dividing the percent haze of an uncoated test sample made of a 15 homopolymer prepared from CR-39® allyl diglycol carbonate monomer by the percent haze of the untreated (by immersion in the electrolyte solution) coated test sample. The resulting number is an indication of how much more abrasion resistant is the coated test sample compared to the uncoated test sample. 20 The haze and percent luminous transmittance (defined as the ratio of transmitted to incident light multiplied by 100) of coated test samples before and after abrasion testing were measured with a Hunter Lab Model DP25P Colorimeter. Listed in Table 1 are the Percent Transmittance levels before abrasion 25 resistance testing and the highest Percent Transmittance level obtained after treatment with aqueous electrolyte for the Treatment Time indicated.

-39-

Table 1

<u>Coating Composition</u>	<u>Coating Solution Age (Days)</u>	<u>Percent Transmittance (Before)</u>	<u>Treatment Time (Minutes)</u>	<u>Percent Transmittance (After)</u>	<u>BARI</u>
Example 1*	6	94.3	20	98.0	1.7
Example 2	49	94.8	15	99.3	2.4
Example 3	6	93.6	27	96.5	--
Example 4	7	94.3	24	96.1	2.6
Example 5	7	94.3	24	96.2	2.6
Example 6	7	94.0	50	98.2	2.9
Example 7	4	94.2	30	98.1	1.7
Example 8	2	94.2	31	96.6	--
Example 9*	6	94.2	50	98.3	1.5
Example 10*	6	94.4	21	97.9	1.9
Example 11	7	94.3	24	96.7	2.7
Example I	8	94.1	60	94.5	1.0

* Indicates that the substrate to which the coating was applied was first treated with tetramethylammonium hydroxide.

5

-- Indicates that the BARI was not measured

-40-

The results in Table 1 show that the percent transmittance of the test samples coated with the coating compositions of Examples 1-11 increased at least 1.8 percent after treatment, while the sample coated with the coating composition of Example I increased only 0.4 percent, a larger increase in the percent of transmittance would be attained if the treatment time was lengthened. The BARI results showed that the test samples coated with the coating compositions of Examples 1 through 11 were more resistant to abrasion than the sample coated with Example I.

Example 13

The coating composition of Example 2 was prepared except that 24 grams of the polymer solution of Step 1 was used. This coating composition was applied to several of the same type of square polymerizate test samples described in Example 12, Part A, cured, and tested according to the procedures of Part A and Part B of Example 12, except that the coating solution was aged for 7 days and the temperature of the aqueous electrolyte treatment was maintained at the levels listed in Table 2.

Table 2

Treatment Temperature (°C)	Percent Transmittance <u>(Before)</u>	Treatment Time (Minutes)	Percent Transmittance <u>(After)</u>
100	93.6	11	98.2
90	93.5	55	96.9
80	93.6	120	98.3
70	93.7	400	97.7
60	93.6	530	95.2
25	93.6	48 (Days)	97.3

-41-

The results of Table 2 demonstrate the inverse relationship that exists between Treatment Temperature and Treatment Time, i.e., an increase in the Percent Transmittance 5 (After) is obtained with higher temperatures and shorter contact time periods as well as with lower temperatures and longer contact time intervals. Also demonstrated is that an antireflective surface, as measured by an increase in percent transmittance, is obtained by treatment with an aqueous 10 electrolyte over a wide range of temperature levels and time intervals.

Example 14

The procedure of Example 13 was followed except that 15 the temperature of the aqueous electrolyte treatment was maintained at about 100°C and the composition of the aqueous electrolyte solution was varied as indicated in Table 3. The aqueous electrolyte solutions were prepared using water processed by reverse osmosis and to which individually was 20 added the following chemicals: 0.01 weight percent of the indicated carbonate compound; an amount of metal hydroxide compound that resulted in a solution pH of 9 (or in the case of aluminum hydroxide was a saturated solution that was adjusted to a pH of 9 with ammonium hydroxide); and 0.01 25 weight percent of stannic chloride that was adjusted to a pH of 9 with ammonium hydroxide.

-42-

Table 3

<u>Electrolyte Solution Chemical</u>	<u>Percent Transmittance (Before)</u>	<u>Treatment Time (Minutes)</u>	<u>Percent Transmittance (After)</u>
Magnesium Carbonate	93.6	24	98.6
Calcium Carbonate	93.6	19	96.5
Sodium Carbonate	93.5	19	98.1
Sodium Hydroxide	93.6	55	96.8
Magnesium Hydroxide	93.5	19	98.0
Aluminum Hydroxide	93.6	40	94.4
Stannic Chloride	93.8	60	94.1

The results of Table 3 show that various inorganic electrolytes in aqueous solution can be used in the process of the present invention to treat substrates to which coating compositions of the present invention have been applied and cured. These treatments resulted in an improvement of the antireflective nature of the surface as demonstrated by an increase in the Percent Transmittance (After).

10

Example 15

Variations of the coating composition of Step 2 of Example 1 were prepared as Coating Compositions C and D. Coating Composition C differed from the coating composition of Example 1 by using the following components: gamma-glycidoxypropyl trimethoxysilane (4.5 grams), acetic acid (6.3

-43-

grams), ethanol (40 grams) and 3.3 grams of the polymer solution of Step 1. Coating Composition D differed from Coating Composition C by using 5 grams of the polymer solution of Step 1. Both coating compositions were applied to several test samples of the same type of square polymerizates described in Example 12, Part A, cured, and tested according to the procedures of Parts A and B of Example 12, except that the coating solution was aged for 7 days and a different aqueous electrolyte solution was used. The aqueous electrolyte solution was water prepared by reverse osmosis that had its pH adjusted by the addition of hydrochloric acid and/or sodium hydroxide to its pH levels listed in Table 4.

Table 4

<u>Coating Composition</u>	<u>pH Level</u>	<u>Percent Transmittance (Before)</u>	<u>Treatment Time (Minutes)</u>	<u>Percent Transmittance (After)</u>
C	1	93.5	300	93.2
D	1	93.4	300	93.0
C	2	93.6	240	93.4
D	2	93.7	240	93.4
C	3	93.5	180	93.2
D	3	93.6	180	93.3
C	4	93.4	95	96.5
D	4	93.6	55	96.7
C	5	93.4	100	93.6
D	5	93.4	60	94.8
C	6	93.4	140	93.6
D	6	93.6	140	96.0
C	7	93.4	85	93.8
D	7	93.5	75	96.6

-44-

Table 4 (continued)

<u>Coating Composition</u>	<u>pH Level</u>	<u>Percent Transmittance (Before)</u>	<u>Treatment Time (Minutes)</u>	<u>Percent Transmittance (After)</u>
C	8	93.4	90	97.2
D	8	93.6	45	96.1
C	9	93.4	110	96.7
D	9	93.4	65	96.7
C	10	93.5	50	96.3
D	10	93.4	30	95.8
C	11	93.3	13	98.0
D	11	93.4	10	97.6
C	12	93.5	11	96.1
D	12	93.4	11	96.2

The results of Table 4 demonstrate the effect of having different amounts of functionalized polymer in the coating composition on the treatment time required to attain the same percent transmittance. Generally, the time of treatment necessary to obtain a given transmittance was less for Coating Composition D (higher level of polymer) in the pH range between 4 and 11. At a pH above or below this range, the level of polymer did not have an observable effect on the treatment time. Also, when the pH was below 4, a decrease in the percent transmittance was observed.

Example 16

Variations of the coating composition of Step 2 of Example 1 were prepared as Coating Compositions E-1 through E-7. After all of the components of the Coating Compositions listed in Table 5 were added to a reaction flask, the contents were stirred for from 60 to 80 minutes at room temperature. The pH of each coating composition was adjusted then to from

-45-

4.2 to 4.4 with the addition of a 25 weight percent aqueous solution of tetramethylammonium hydroxide. FLUORAD® FC-430 surfactant (0.1 gram) was added to each coating composition and the resulting solution was stirred overnight at room temperature. Each solution was filtered through a Whatman 934-AH filter prior to use as a coating composition.

The coating compositions were applied to several test samples of the same type of square polymerizates described in Example 12, Part A, cured, and tested according to the procedures of Part A and Part B of Example 12 except that the coating solution was aged for 1 day, the aqueous electrolyte solution was a saturated solution of magnesium carbonate, and the test samples to which the coating was applied were first treated by soaking in a 15 weight percent aqueous tetramethylammonium hydroxide solution for about 5 minutes at 55 to 60°C. The test results are listed in Table 6.

Table 5

<u>Components</u>	<u>Coating Compositions*</u>						
	<u>E-1</u>	<u>E-2</u>	<u>E-3</u>	<u>E-4</u>	<u>E-5</u>	<u>E-6</u>	<u>E-7</u>
MTES	54	54	54	-	-	54	54
MTMS	-	-	-	54	54	-	-
GGPTMS	10.8	13.2	12.1	10.8	-	-	10.8
APTMS	-	-	-	-	-	5	5
TEOS	18	30	-	18	18	18	18
Nitric Acid	0.2	0.2	0.2	0.2	3.0	3.0	3.0
Water	36	36	32	36	36	36	36
Ethanol	40	40	40	40	72	40	40

20

MTES = methyltriethoxysilane

MTMS = methyltrimethoxysilane

GGPTMS = gamma-glycidoxypropyl trimethoxysilane

APTMS = 3-aminopropyl trimethoxysilane

25 TEOS - tetramethylorthosilicate

* Amounts listed are in grams

-46-

Table 6

<u>Coating Composition</u>	<u>Percent Transmittance (Before)</u>	<u>Treatment Time (Minutes)</u>	<u>Percent Transmittance (After)</u>
E-1	92.4	120	94.6
E-2	91.6	120	94.5
E-3	87.6	30	91.0
E-4	93.6	120	94.1
E-5	93.5	40	95.3
E-6	93.5	20	96.2
E-7	93.2	20	97.1

The results of Table 6 demonstrate that the process
5 of the present invention can be used to produce coatings
having a graded porosity, as measured by an increase in
percent transmittance, after aqueous electrolyte treatment, of
cured coating compositions substantially free of the
functional-group containing organic polymers of the type used
10 in the coating compositions of the present invention.
Furthermore, the use of different silane monomers in the
coating composition of Example 16 effected the treatment time
required to obtain an antireflective coating. For example,
the increase in percent transmittance for coating composition
15 E-1, which contained MTES, was 2.2 percent, whereas the
increase for coating composition E-4, containing MTMS, was 0.5
percent. Also, the use of APTMS with MTMS in composition E-5
resulted in an increase in the percent transmittance of 1.8
percent.

-47-

The present invention has been described with reference to specific details of particular embodiments thereof. It is not intended that such details be regarded as limitations upon the scope of the invention except insofar as 5 and to the extent that they are included in the accompanying claims.

-48-

We claim:

1. A coating composition comprising, in combination, the acid catalyzed hydrolysis and condensation products of a water-silane monomer mixture, and a film-forming 5 amount of a polymer having functional groups selected from the group consisting of amino, hydroxy and carboxy, hydroxy and amino, amino and carboxy, and amino, hydroxy and carboxy, said polymer being compatible with said silane monomer and the acid catalyzed condensation products of said silane monomer, said 10 coating composition forming a coating that exhibits an increase in luminous transmittance after treatment with an aqueous electrolyte solution.

2. The coating composition of claim 1 wherein 15 said polymer is prepared from monomers selected from the group consisting of hydroxy (C₂-C₄) alkyl acrylates, hydroxy (C₂-C₄)alkyl methacrylates, acrylic acid, methacrylic acid, carboxy (C₁-C₄) alkyl acrylates, carboxy (C₁-C₄) alkyl methacrylates, amino substituted phenyl acrylates, amino 20 substituted phenyl methacrylates, amino substituted phenyl(C₁-C₉)alkyl acrylates, amino substituted phenyl(C₁-C₉)alkyl methacrylates, amino substituted phenoxy acrylates, amino substituted phenoxy methacrylates, amino substituted phenoxy(C₁-C₉)alkyl acrylates, amino substituted phenoxy(C₁-C₉)alkyl methacrylates, amino(C₁-C₉)alkyl acrylates, amino(C₁-C₉)alkyl methacrylates, vinylamines, and mixtures of said 25 monomers.

3. The coating composition of claim 2 wherein 30 said monomers are selected from the group consisting of hydroxy (C₂-C₄)alkyl methacrylates, acrylic acid, methacrylic acid, carboxy (C₁-C₄)alkyl acrylate, amino(C₁-C₉)alkyl

-49-

acrylates, amino (C_1-C_9)alkyl methacrylates, and mixtures of said monomers.

4. The coating composition of claim 1 wherein
5 said polymer is prepared from said monomers and additional
monomers substantially free of hydroxy, carboxy and amino
functional groups.

5. The composition of claim 1 wherein the silane
10 monomer is present in the coating composition in amounts of
from about 1 to 70 weight percent, based on the total weight
of said coating composition, and is representable by the
following general formula:



wherein R^1 is C_1-C_{20} alkyl, C_1-C_{20} haloalkyl, C_2-C_{20} alkenyl,
 C_2-C_{20} haloalkenyl, phenyl, phenyl(C_1-C_{20})alkyl, C_1-C_{20}
alkylphenyl, phenyl(C_2-C_{20})alkenyl, C_2-C_{20} alkenylphenyl,
20 glycidoxy(C_1-C_{20})alkyl, epoxycyclohexyl(C_1-C_{20})alkyl,
morpholino, amino(C_1-C_{20})alkyl, amino(C_2-C_{20})alkenyl,
mercapto(C_1-C_{20})alkyl, mercapto(C_2-C_{20})alkenyl, cyano(C_1-C_{20})alkyl,
cyano(C_2-C_{20})alkenyl, acryloxy, methacryloxy, or
halogen, R^2 is C_1-C_{20} alkylene, C_2-C_{20} alkenylene, phenylene,
25 C_1-C_{20} alkylenephenylene, amino(C_1-C_{20})alkylene, amino(C_2-C_{20})
alkylene, X is hydrogen, halogen, hydroxy, C_1-C_5 alkoxy, C_1-C_5
alkoxy(C_1-C_5)alkoxy, C_1-C_4 acyloxy, phenoxy, C_1-C_3
alkylphenoxy, or C_1-C_3 alkoxyphenoxy, said halo or halogen
being bromo, chloro or fluoro, m and n are each an integer of
30 from 0 to 2, and the sum of m and n is an integer of from 0 to
3.

-50-

6. The coating composition of claim 5 wherein:

(a) the amount of said silane monomer is from about 3 to 55 weight percent, R¹ is a C₁-C₁₀ alkyl, C₁-C₁₀ (halo)alkyl, C₂-C₁₀ alkenyl, phenyl, phenyl(C₁-C₁₀)alkyl, C₁-C₁₀ alkylphenyl, glycidoxy(C₁-C₁₀) alkyl, epoxycyclohexyl(C₁-C₁₀)alkyl, morpholino, amino(C₁-C₁₀)alkyl, amino(C₂-C₁₀)alkenyl, mercapto(C₁-C₁₀)alkyl, mercapto(C₂-C₁₀)alkenyl, cyano(C₁-C₁₀)alkyl, cyano(C₂-C₁₀)alkenyl, or halogen, R² is a C₁-C₁₀ alkylene or C₂-C₁₀ alkenylene group, phenylene, C₁-C₁₀ alkylenephenylene, amino(C₁-C₁₀)alkylene, amino(C₂-C₁₀)alkenylene, X is hydrogen, halogen, hydroxy, C₁-C₃ alkoxy, C₁-C₃ alkoxy(C₁-C₃)alcoxy, C₁-C₂ acyloxy, phenoxy, C₁-C₂ alkylphenoxy, or C₁-C₂ alkoxyphenoxy, said halo or halogen being chloro or fluoro; and

(b) a catalytic amount of a water-soluble acid selected from the group consisting of acetic, formic, glutaric, maleic, nitric, sulfuric, and hydrochloric acids is present.

7. The coating composition of claim 1 further comprising components selected from the group consisting of:

(a) from about 0 to about 20 weight percent, based on the total weight of the coating composition, of a compound having the empirical formula M(Y)_p, wherein M is a metal selected from the group consisting of Ti, Al, Zr, B, Sn, In, Sb, and Zn, and Y is selected from the group consisting of C₁-C₁₀ alkoxy, acetylacetone, and p is an integer equivalent to the valence of M;

(b) from about 0 to about 10 weight percent, based on the total weight of the coating composition, of a compound having the formula F(CF₂)_gCH₂CH₂Si(CH₃)_hZ_{3-h}, wherein Z is

-51-

chloro, methoxy, or ethoxy, g is an integer of from 1 to 10,
and h is an integer of from 0 to 2;

(c) a binding amount of organic polymer
substantially free of the functional groups hydroxy, carboxy,
5 and amino;

(d) a solvating amount of organic solvent; and
(e) a leveling amount of surfactant.

8. The coating composition of claim 7 wherein:

10 (a) the amount of said compound (a) is from about
0 to 10 weight percent, M is selected from the group
consisting of Ti, Al, B, and Zr, and Y is C₁ -C₅ alkoxy;

(b) said organic polymer (c) is selected from the
group consisting of polyacrylates, polymethacrylates, poly(C₁-
15 C₁₂)alkyl methacrylates, poly(C₁-C₁₂)alkyl acrylates,
polyoxy(alkylene methacrylates), poly(alkoxylated phenol
methacrylates), cellulose acetate, cellulose triacetate,
cellulose acetate propionate, cellulose acetate butyrate,
poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl
20 chloride), poly(vinylidene chloride), thermoplastic
polycarbonates, polyesters, polyurethanes, poly(ethylene
terephthalate), copoly(styrene-methylmethacrylate),
copoly(styrene-acrylonitrile), poly(vinyl pyrrolidone), and
polyvinylbutyral;

25 (c) said surfactant is a nonionic surfactant
selected from the group consisting of C₈-C₁₃ alkyl phenol
ethoxylates, fluoroaliphatic polymeric esters, and fluorinated
alkyl polyoxyethylene ethanols; and

(d) said organic solvent is selected from the
30 group consisting of ethyl acetate, tetrahydrofuran, C₁-C₆
alkanol, and aliphatic alcohol of the empirical formula

-52-

$[(R^3)_i R^4] (C_1-C_6) OH$, wherein R^3 and R^4 are each C_1-C_4 alkoxy, and i is the integer 0 or 1.

9. An article comprising, in combination, a solid
5 substrate and on at least one surface thereof the cured
coating composition of claim 1.

10. The article of claim 9 wherein said substrate
is selected from the group consisting of glass, ceramics,
10 metals, and solid organic polymeric materials.

11. The article of claim 10 wherein said solid
organic polymeric material is selected from the group
consisting of polyacrylates, polymethacrylates, poly(C_1-C_{12})
15 alkyl methacrylates, polyoxy(alkylene methacrylates), poly
(alkoxylated phenol methacrylates), cellulose acetate,
cellulose triacetate, cellulose acetate propionate, cellulose
acetate butyrate, poly(vinyl acetate), poly(vinyl alcohol),
poly(vinyl chloride), poly(vinylidene chloride), thermoplastic
20 polycarbonates, polyesters, polyurethanes, poly(ethylene
terephthalate), polystyrene, poly(alpha methylstyrene),
copolystyrene-methylmethacrylate), copoly(styrene-
acrylonitrile), polyvinylbutyral and polymers of members of
the group consisting of polyol(allyl carbonate) monomers,
25 polyfunctional acrylate monomers, polyfunctional methacrylate
monomers, diethylene glycol dimethacrylate monomers,
diisopropenyl benzene monomers, alkoxyinated polyhydric alcohol
monomers and diallylidene pentaerythritol monomers.

30 12. The article of claim 11 wherein said solid
organic polymeric material is a homopolymer or copolymer of
monomer(s) selected from the group consisting of acrylates,

-53-

methacrylates, methyl methacrylate, ethylene glycol bis methacrylate, ethoxylated bisphenol A dimethacrylate, vinyl acetate, vinylbutyral, urethane, diethylene glycol bis(allyl carbonate), diethylene glycol dimethacrylate, diisopropenyl 5 benzene, and ethoxylated trimethylol propane triacrylate.

13. An article comprising, in combination, a solid substrate and on at least one surface thereof the cured coating composition of claim 4.

10

14. An article comprising, in combination, a substrate and on at least one surface thereof the cured coating composition of claim 6.

15

15. An article comprising, in combination, a substrate and on at least one surface thereof the cured coating composition of claim 8.

20

16. A process for preparing an antireflective coating on a substrate comprising:

(a) applying to a solid substrate an acid catalyzed sol-gel coating composition substantially free of preformed oxide sol and water soluble metal salt;

(b) curing the applied coating composition; and

25

(c) treating said cured coating with an aqueous electrolyte solution for a time sufficient to produce a coating having a graded porosity.

17. The process of claim 16 wherein step (c) is 30 performed with an aqueous electrolyte solution at a temperature from about 20°C to about the boiling temperature of said electrolyte solution.

18. The process of claim 17 wherein said aqueous electrolyte solution is an aqueous solution of hydrogen chloride, tetra(C₁-C₁₂)alkyl ammonium salt, tetra (C₁-C₁₂)alkyl ammonium hydroxide, metal salts, metal hydroxide, hydrates of said salts, hydrates of said hydroxides, or mixtures thereof, said solution having a pH from about 4 to about 11.

10 19. The process of claim 18 wherein said salt of the aqueous solution of tetra(C₁-C₁₂)alkyl ammonium salt or metal salts is selected from the group consisting of carbonate, carboxylate, sulfonate, sulfate, nitrate, perchlorate, phosphate, halide, and mixtures of such salts, 15 said aqueous solution having a pH from about 7 to about 9.

20 20. The process of claim 19 wherein said metal is an alkaline earth or alkali metal.

20 21. The process of claim 16 further comprising the steps of contacting said treated cured coating with an aqueous rinsing solution for a time sufficient to remove residual products resulting from the treatment of step (c) from the surface of the coating and drying the coating.

25

22. A process for preparing an antireflective coating on a substrate comprising:

(a) applying to a solid substrate the coating composition of claim 1;

30 (b) curing the applied coating composition; and

-55-

(c) treating said cured coating with an aqueous inorganic electrolyte solution for a time sufficient to produce a coating having a graded porosity.

5 23. An article comprising, in combination, a substrate and on at least one surface thereof an antireflective coating prepared by the process of claim 16.

10 24. The article of claim 23 wherein said substrate is selected from the group consisting of glass, ceramics, metals, and solid organic polymeric materials.

15 25. The article of claim 24 wherein said solid organic polymeric material is selected from the group consisting of polyacrylates, polymethacrylates, poly(C_1-C_{12})alkyl methacrylates, polyoxo(alkylene methacrylates), poly(alkoxylated phenol methacrylates), cellulose acetate, cellulose triacetate, cellulose acetate propionate, cellulose acetate butyrate, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinylidene chloride), thermoplastic polycarbonates, polyesters, polyurethanes, poly(ethylene terephthalate), polystyrene, poly(alpha methylstyrene), copoly(styrene-methylmethacrylate), copoly(styrene-acrylonitrile), polyvinylbutyral and polymers of members of 20 the group consisting of polyol(allyl carbonate) monomers, polyfunctional acrylate monomers, polyfunctional methacrylate monomers, diethylene glycol dimethacrylate monomers, diisopropenyl benzene monomers, alkoxylated polyhydric alcohol monomers and diallylidene pentaerythritol monomers.

30

26. The article of claim 25 wherein said solid organic polymeric material is a homopolymer or copolymer of a

-56-

monomer selected from the group consisting of acrylates, methacrylates, methyl methacrylate, ethylene glycol bis methacrylate, ethoxylated bisphenol A dimethacrylate, vinyl acetate, vinylbutyral, urethane, diethylene glycol bis(allyl 5 carbonate), diethylene glycol dimethacrylate, diisopropenyl benzene, and ethoxylated trimethylol propane triacrylate.

27. An article comprising, in combination, a substrate and on at least one surface thereof an 10 antireflective coating prepared by the process of claim 20.

28. An article comprising, in combination, a substrate and on at least one surface thereof an antireflective coating prepared by the process of claim 21.

15 29. An article comprising, in combination, a substrate and on at least one surface thereof an antireflective coating prepared by the process of claim 22.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/03605

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :B05D 5/06; B32B 9/04, 17/06; C08F 283/00

US CL : 427/162, 167, 387, 393.5; 428/429, 447, 451, 522; 525/474, 479

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 427/162, 167, 387, 393.5; 428/429, 447, 451, 522; 525/474, 479

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JP 05-271,549 A (ARAKAWA CHEMICAL INDUSTRY LTD.) 19 October 1993, especially abstract, examples, and claims.	1-11, 13-15
---		----
Y		12
Y	US 4,754,012 A (B.E. YOLDAS ET AL.) 28 June 1988, column 1, line 64 to column 2, line 29, examples.	16-21, 23-29
Y	US 5,116,644 A (K. ASAI ET AL.) 26 May 1992, column 2, lines 6-62, column 3, line 66 to column 4, line 55.	16-21, 23-29
A	US 5,175,027 A (S.R. HOLMES-FARLEY ET AL.) 29 December 1992.	1-29
A	US 5,328,975 A (M.E. HANSON ET AL.) 12 July 1994.	1-29

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"		document defining the general state of the art which is not considered to be of particular relevance
"E"		earlier document published on or after the international filing date
"L"		document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O"		document referring to an oral disclosure, use, exhibition or other means
"P"		document published prior to the international filing date but later than the priority date claimed
"X"		document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y"		document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"&"		document member of the same patent family

Date of the actual completion of the international search

22 MAY 1996

Date of mailing of the international search report

12 JUN 1996

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
P.O. Box 9000

Authorized officer

K. M. T. /

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/03605

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,049,414 A (H. KATO) 17 September 1991.	1-29
A	US 4,929,278 A (C.S. ASHLEY) 29 May 1990.	1-29